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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

**HOOV 120** Attorney Docket No. First Inventor Michael D. Hooven Sub-Xyphoid Method for Ablating Cardiac EL 845500445US Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) 1. LX CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred arrangement set forth below) Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. Daper or a computer program listing appendix - Background of the Invention Statements verifying identity of above copies Brief Summary of the Invention
 Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATION PARTS - Detailed Description 9. Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10. (when there is an assignee) Attorney English Translation Document (if applicable) 4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets Copies of IDS Information Disclosure [ Total Pages 5. Oath or Declaration Citations Statement (IDS)/PTO-1449 Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Preliminary Amendment 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. X Other: Return Receipt Postcard 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76; Not yet Assigned Continuation Divisional Continuation-in-part (CIP) of prior application No.: Group Art Unit: Not yet Assigned Examiner Not yet Assigned For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Name <u>Address</u> PATENT TRADEMARK OF ICState City Zip Code Country Telephone Fax Gary W. McFarron 27,357 Name (Print/Type) Registration No. (Attorney/Agent) Dec. 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 740.00

Complete if Known			
Application Number	Not yet Assigned		
Filing Date	December 13, 2001		
First Named Inventor	Michael D. Hooven		
Examiner Name	Not yet Assigned		
Group Art Unit	Not yet Assigned		
Attorney Docket No.	HOOV 120		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
X Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	Large Entity Small Entity					
Deposit Account 50/1039	Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid		
Number Deposit Cook, Alex, McFarron, Manzo, Cummings	105 130	205 65	Surcharge - late filing fee or oath			
Account & Mehler, Ltd.	127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
The Commissioner is authorized to: (check all that apply)	139 130	139 130	Non-English specification			
X Charge fee(s) indicated below X Credit any overpayments	147 2 520	147 2,520	For filing a request for ex parte reexamination			
Charge any additional fee(s) during the pendency of this application	112 920*	112 920*	Requesting publication of SIR prior to			
X Charge fee(s) indicated below, except for the filing fee	112 020	020	Examiner action			
to the above-identified deposit account.  FEE CALCULATION	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
I. BASIC FILING FEE	115 110	215 55	Extension for reply within first month			
Large Entity   Small Entity	116 400	216 200	Extension for reply within second month			
Fee Fee Fee Fee Description	117 920	217 460	Extension for reply within third month			
404 740 004 070 11075 670 1	118 1,440	218 720	Extension for reply within fourth month			
106 330   206 165   Design filing fee   740.00	128 1,960	228 980	Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320	219 160	160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320	220 160	iling a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280	221 140	Request for oral hearing			
SUPTOTAL (4) (C) 740 00	138 1,510	138 1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 740.00	140 110	240 55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141 1,280	241 640	Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,280	242 640	Utility issue fee (or reissue)	ļ		
Total Claims 19 -20** = X 18.00 = 0	143 460	243 230	Design issue fee			
Claims	144 620	244 310	Plant issue fee			
Multiple Dependent = _ O	122 130	122 130	Petitions to the Commissioner			
Large Entity   Small Entity	123 50	123 50	Processing fee under 37 CFR 1.17(q)			
Fee Fee Fee Fee Description	126 180	126 180	Submission of Information Disclosure Stmt			
Code (\$)   Code (\$) 103 18   203 9   Claims in excess of 20	581 40	581 40	Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid	149 740	249 370	For each additional invention to be			
109 84 209 42 ** Reissue independent claims over original patent		243 370	examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 740	279 370	Request for Continued Examination (RCE)			
and over original patent	169 900	169 900	Request for expedited examination of a design application			
- SUBTOTAL (2) (\$)0.00	Other fee (s	pecify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by	Basic Filing	Fee Paid SUBTOTAL (3) (\$) 74	0.00		

SUBMITTED BY				Complete (ii	f applicable)
Name (Print/Type)	Gary W. McFarron	Registration No. (Attorney/Agent)	27,357	Telephone	(312) 236-8500
Signature	MILLI MISTALLON			Date	December 13, 2001

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